

## About APCIMS



▶ The Association of Private Client Investment Managers and Stockbrokers (APCIMS) is a trade association that represents FSA authorised firms who provide investment services to private investors.

▶ If a member of the public buys or sells securities they are likely to do so through an APCIMS member; if he or she has private assets managed and invested by an intermediary it is also likely to be an APCIMS member.

▶ Our member firms deal primarily in stocks and shares for individuals, trusts and charities and offer a range of services from execution only through to full discretionary management of a portfolio of stocks and shares.

▶ APCIMS currently has 174 members, 116 of this number are wealth management and broking firms that deal directly for private investors. The remaining 58 firms are associate members who share the aims of the APCIMS community and provide professional services to our members.

▶ Our Members manage over £475 billion of the country's wealth, run just under 6 million client portfolios and carry out over 20 million trades a year.

▶ APCIMS sprung out of the London Stock Exchange over 21 years ago and today prides itself on being 'the voice' of the wider private client investment industry including investment managers, wealth managers & brokers.

▶ Our objectives are to represent our members, to educate and raise awareness of and for our industry and to lead the debate in Europe on the developments of the European Securities Industry.

▶ In addition to our core objectives we also provide services to our members through:

- Help and support that utilises our expert knowledge on the market and on areas such as business, legal and regulatory matters.
- Creating supporting documentation and guides on key issues for our industry
- A substantial event calendar
- Providing industry benchmarking indices for the retail sector.